



IAP04Rec'd PCT 17 OCT 2008

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **KAKU, Junya**

Attention: **Applications Division**

Serial Number: **10/578,335**

Group Art Unit: **2621**

Filed: **May 4, 2006**

**P.T.O. Confirmation No.: 5062**

For: **CONTENT RECORDING APPARATUS**

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: **October 17, 2008**

Sir:

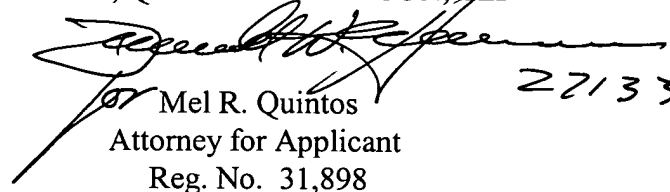
Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the official Filing Receipt, we noted errors in that the **Total Number of Claims should read as --16-- and the Title should read as --CONTENT RECORDING APPARATUS--**. Copies of the **Utility Transmittal and Assignment** are enclosed which indicate the correct information. We are enclosing a copy of the filing receipt with the corrections highlighted.

In the event that any fees are required in connection with this paper, please charge our Deposit Account No. 01-2340.

Respectfully submitted,

KRATZ, QUINTOS & HANSON, LLP

  
for Mel R. Quintos  
Attorney for Applicant  
Reg. No. 31,898

MRQ/bgr

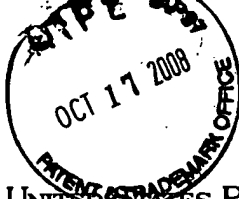
Atty. Docket No. **060363**  
Suite 400  
1420 K Street, N.W.  
Washington, D.C. 20005  
(202) 659-2930



**23850**

PATENT & TRADEMARK OFFICE

Enclosures: Official Filing Receipt, Utility Transmittal and Assignment



UNITED STATES PATENT AND TRADEMARK OFFICE

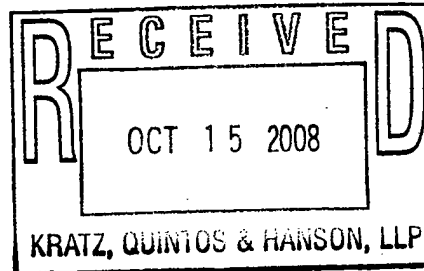
UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

Should read as: 16

APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLAIMS	IND CLAIMS
10/578,335	05/04/2006	2621	900	060363	15	1

CONFIRMATION NO. 5062

23850  
KRATZ, QUINTOS & HANSON, LLP  
1420 K Street, N.W.  
Suite 400  
WASHINGTON, DC 20005



FILING RECEIPT



Date Mailed: 10/14/2008

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections**

**Applicant(s)**

Junya Kaku, Hyogo, JAPAN;

**Power of Attorney:** The patent practitioners associated with Customer Number 23850

**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/JP04/15210 10/07/2004

**Foreign Applications**

JAPAN 2003-375675 11/05/2003

**If Required, Foreign Filing License Granted:** 10/08/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/578,335**

**Projected Publication Date:** 01/22/2009

**Non-Publication Request:** No

**Early Publication Request:** No

# Should read as: CONTENT RECORDING APPARATUS

Title

Content storage apparatus

Preliminary Class

386

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

### LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

### GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.



The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		DATE: <b>May 4, 2006</b>
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLN. NO. (if known):
INTERNATIONAL APPLICATION NO.: <b>PCT/JP04/15210</b>	INTERNATIONAL FILING DATE: <b>October 7, 2004</b>	PRIORITY DATE CLAIMED: <b>November 5, 2003</b>
TITLE OF INVENTION: <b>CONTENT RECORDING APPARATUS</b>		
APPLICANT(S) FOR DO/EO/US: <b>Junya KAKU</b>		
Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the time limit set in 35 USC 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):</p> <p style="margin-left: 40px;">a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 40px;">b. <input type="checkbox"/> has been transmitted by the International Bureau.</p> <p style="margin-left: 40px;">c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="margin-left: 40px;">a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 40px;">b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p style="margin-left: 40px;">c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 40px;">d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p><b>ITEMS 11. TO 16. BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:</b></p> <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98 together with PTO-1449, 4 references and International Search Report.</p> <p>12. <input checked="" type="checkbox"/> a. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p style="margin-left: 20px;"><input checked="" type="checkbox"/> b. ASSIGNEE(s) NAME(s) AND ADDRESS <u>SANYO ELECTRIC CO., LTD., Moriguchi-shi, Japan</u></p> <p style="margin-left: 40px;"><b>Please publish the assignee data with the application.</b></p> <p>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p style="margin-left: 20px;"><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input checked="" type="checkbox"/> Other items or information: <u>22 Sheets of Drawings.</u></p>		



U.S. APPLICATION NO. (if known)	INTERNATIONAL APPLICATION NO. PCT/JP04/15210	DATE: May 4, 2006
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$940.00 to cover the above fees is enclosed. (\$300.00 for basic fee, \$400.00 for search fee, \$200.00 for examination fee and \$40.00 for the assignment.) (This paper is filed in triplicate)</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 01-2340 in the amount of \$___ to cover the above fees. (A duplicate copy of this sheet is enclosed.)</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 01-2340.</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.</p>		
Send All Correspondence To:  Armstrong, Kratz, Quintos, Hanson & Brooks, LLP Suite 1000, 1725 K Street, N.W. Washington, D. C. 20006 Tel: (202) 659-2930 Fax: (202) 887-0357		 <b>23850</b> PATENT TRADEMARK OFFICE
Typed or Printed Name William L. Brooks		Reg. No. 34,129
Signature 		Date: May 4, 2006

WLB/jaz

Docket No. \_\_\_\_\_

Armstrong, Kratz,

Quintos, Hanson & Brooks, LLP

## U.S. ASSIGNMENT

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned inventor(s) (hereinafter ASSIGNOR) by  
**SANYO Electric Co., Ltd.**

(Insert ASSIGNEE's  
Name(s) Address(es))

**5-5, Keihanondori 2-chome, Moriguchi-shi, Osaka 570-8677 Japan**

(hereinafter ASSIGNEE), the receipt of which is hereby acknowledged, the undersigned  
ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title  
and interest to the invention entitled:

(Title of Invention)

**CONTENT RECORDING APPARATUS**

(\*If the assignment is  
being filed after the  
filing of the  
application, this  
section must be  
completed)

for which application for Letters Patent of the United States was executed on even date herewith  
unless otherwise indicated below:

\* filed on \_\_\_\_\_, Serial No. \_\_\_\_\_.

(Armstrong, Kratz, Quintos, Hanson & Brooks, LLP is hereby authorized to insert the serial  
code, serial number and/or filing date hereon, when known)

and all Letters Patent of the United States to be obtained therefor on said application or any  
continuation, divisional, substitute, reissue or reexamination thereof for the full term or terms for  
which the same may be granted.

The ASSIGNOR agrees to execute all papers necessary in connection with the application and any  
continuation, divisional, reissue or reexamination applications thereof and also to execute separate  
assignments in connection with such applications as the ASSIGNEE may deem necessary or  
expedient.

The ASSIGNOR agrees to execute all papers necessary in connection with any interference,  
litigation, or other legal proceeding which may be declared concerning this application or any  
continuation, divisional, reissue or reexamination thereof or Letters Patent or reissue patent issued  
thereon and to cooperate with the ASSIGNEE in every way possible in obtaining and producing  
evidence and proceeding with such interference, litigation, or other legal proceeding.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).

(Signatures)

Junya Kaku  
(Signature)

Junya KAKU  
(Type Name)

April 20, 2006  
(Date)

(Signature)

(Type Name)

(Date)

(Signature)

(Type Name)

(Date)

(Signature)

(Type Name)

(Date)

(Signature)

(Type Name)

(Date)

(Signature)

(Type Name)

(Date)

NO LEGALIZATION REQUIRED